

EAST SUSSEX FIRE AND RESCUE SERVICE

Panel:	Scrutiny & Audit Panel
Date	29 April 2021
Title of Report	Corporate Risk Register Review Quarter 4 2020/21
By	Duncan Savage, Assistant Director Resources/Treasurer
Lead Officer	Parmjeet Jassal, Finance Manager and Ellen Williams Risk & Insurance Officer

Background Papers	Scrutiny and Audit Panel 23 July 2020 - 2020/21 First Quarter Corporate Risk Register Review Scrutiny and Audit Panel 18 November 2020 - 2020/21 Second Quarter Corporate Risk Register Review Scrutiny and Audit Panel 21 January 2021 - 2020/21 Third Quarter Corporate Risk Register Review
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Appendices	Appendix 1 - RAID Log Scoring Matrix Appendix 2 - Corporate Risk Register and Mitigation Plans - updated
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Implications

CORPORATE RISK	√	LEGAL	
ENVIRONMENTAL		POLICY	
FINANCIAL		POLITICAL	
HEALTH & SAFETY		OTHER (please specify)	
HUMAN RESOURCES		CORE BRIEF	

PURPOSE OF REPORT	To report on the latest quarterly review of Corporate Risk
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EXECUTIVE SUMMARY	This report discusses the fourth quarter position. It details the business risks identified, including newly identified risks and how they have or are being mitigated.
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Two new risks have been agreed for addition by SLT, and these are recorded at Corporate Risk (CR) 15 Health & Safety non-compliance and Corporate Risk (CR) 16 Workforce Planning – Operational Competence. In addition, suggested minor changes to risk actions and review dates have been highlighted in italics.

CR15 Health & Safety Non-compliance is assessed on a residual risk basis as 12 – substantial. This is due to the lack of an effective system for following up the implementation of actions recommended as a result of Incident Investigations. This has resulted in failure to implement agreed recommendations and the reoccurrence of certain types of incident. Action is being taken as a matter of urgency to address this risk. This includes:

- Ensure investigation recommendations are placed on CAMMS to ensure progress is visible and completion monitored
- Log of all outstanding actions from H&S Investigations provided to ADs so they can provide updated position on implementation
- Assistant Directors to receive a quarterly report from the H&S team with outstanding actions
- All Outcomes to be discussed at DMTs.
- Outstanding actions to be highlighted and discussed at the HSWC
- H&S BPs to work with the appropriate departmental managers to agree suitable timescales and priority

The risk and the mitigations above will be reviewed at Health, Safety and Wellbeing Committee in May.

Risks are scored against a 4x4 scoring matrix as shown in Appendix 1.

The review of corporate risks is an ongoing process and reports are presented on a quarterly basis. The updated position is shown in Appendix 2.

Project Risks are reported through the Programme Management Office and escalated to the Corporate Risk Register when relevant.

RECOMMENDATION

The Scrutiny & Audit Panel is recommended to:

- a) Note and approve the Q4 Corporate Risk Register including updates made since Quarter 3; and
 - b) Identify any further information or assurance required from Officers
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1 **INTRODUCTION**

1.1 This report brings the fourth quarter Corporate Risk Management Mitigation Plan. Corporate business risks are considered by SLT quarterly and reported to Scrutiny and Audit Panel for consideration.

2 **CHANGES SINCE QUARTER 3**

2.1 All risks have been updated with further/updated actions recorded in italics and two new risks added at CR15 and CR16, however, there has been no change in risk scoring for the previously identified risks.